## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Charles R. Haskins

Serial No.:

09/381,243

Filing Date:

January 21, 2000

For:

Method and System for Establishing,

Monitoring, and Reserving a Guaranteed Minimum Value Return on Select

Investments

Examiner: SUBRAMANIAN, Narayanaswamy

Art Unit: 3691

Confirmation No.: 3704

CERTIFICATE OF ELECTRONIC TRANSMISSION UNDER 37

C.F.R. 1.6(a)(4)

I hereby certify that this correspondence, including listed enclosures, is being electronically transmitted in Portable Document Form (PDF) through EFS-Web via Hyper Text Transfer Protocol to the United

States Patent and Trademark Office on:

Dated:

September 7, 2008

Signed:

Lekha Gopalikishnan

## RESPONSE TO NOTICE OF NON-COMPLIANT AMENDMENT

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In response to the Notice of Non-Compliant Amendment mailed August 7, 2008, Applicant respectfully responds as follows. This response is being filed on or before September 7, 2008. Although the Applicants do not believe any fees are required, the Commissioner is authorized to charge any additional fees that may be required, or credit any overpayment to Deposit Account No. 50-0310 (Docket No.: 064385-5030-US).